

Dear Client,

Welcome to Unique Stockbro Pvt. Ltd.!

We thank you for choosing us. Since our establishment in 1984, we have strived to offer our clients with premium services and undivided attention. We promise that in all our interactions, we will strongly adhere to the core pillars of our philosophy - simplicity, confidentiality, and transparency. Your needs will be of utmost importance to us and we will ensure that you have a hassle-free experience.

Please co-operate with us by completing all the relevant forms and submitting the required documents as mentioned in this booklet. All forms in the booklet have been tailored to be simple and understandable. Instructions and suggestions have been placed at relevant places to guide you through the form and to help avoid any confusion or problems. Ease of completion has been our primary aim.

Should you find any section incomprehensible, please feel free to contact us - we will love to address your concerns and assist you accordingly.

Looking forward to a long and fruitful relationship,

Regards,

Team Unique

Instruction to the Applicant (BO's) For Account opening

- 1. Self attested copy of PAN card is Mandatory for all Holder(s)
- 2. Please fill in KYC Form in Block Letters with **BLACK INK**. All Signatures should be preferably in **BLACK INK**. It is mandatory to fill in separate KYC Form for each holder.
- 3. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 4. If correspondence & permanent address are different, then proofs for both have to be submitted.
- 5. Details of the Names, Address and Tel. No. etc. of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of any attestation done by them.
- 6. All correspondence / queries shall be addressed to the First / Sole Applicant.
- 7. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
- 8. Please submit followings if applicable.
 - Date of Birth Certificate in case of Minors. Proof of NRI Status. Copy of RBI Approval for NRIs.
- 9. Signatures can be in English, Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than in the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate / Special Executive Officer under his / her official seal.

ADDITIONAL REQUIREMENTS

1. Proof of Identity (POI)	2. Proof of Permanent Address (POA)					
	(any one of the following applicable to all					
(any one of the following applicable to	. ,					
all Holders)	Holders)					
☐ PAN card with photograph (Compulsory)	☐ Ration Card ☐ Driving license					
☐ Unique Identification Number (UID) (Aadhaar)	☐ Passport ☐ Voter ID card					
☐ Passport (Photo & Address Page)	☐ Electricity bills* ☐ Telephone bills*					
☐ Fassport (Filoto & Address Fage)	☐ Bank passbook / Bank Statement**					
☐ Voter ID card ☐ Driving License	☐ Registered Lease / Sale Agreement of Residence					
□ Photo Identity card issued by / Regulatory	☐ Identity card with address issued by					
Bodies, Banks, Universities, PSUs and	Statutory/Regulatory Bodies, Banks,					
Professional Bodies	Universities, PSUs and Professional Bodies					
3. Please submit copy of cancelled Cheque leaf of the FIRST HOLDER. If cheque copy is non personalized then please submit Bank Pass book showing name and address of the Holder and such bank statement shall not be more than 3 months old.						
*Electricity and Telephone bills should not be more that	an 3 months old					
**Bank Statement as proof of address can be accepted	in following manner:					
Original Bank Statement: Original bank statement (sho transactions during a period, printed on the bank statement (in original) pertaining to the said bank accorprovided that such original bank statement is not more address. OR	tionery) self attested by the BO along with cancelled punt, without bank attestation as mentioned above,					
Computer-generated statement: Computer-generated statement without signature / stamp of the bank (showing the name and address of the BO and bank transactions during a period, printed on the Bank stationery) can be accepted as a valid proof of address only if duly attested by the concerned Bank under the stamp and signature of the bank official. OR						
Copy of the bank statement : A copy of Bank Statement address after verification with original. Copy of the boundaries of the bank, the BO's name, address, account nur statement shall not be more than 3 months old.	ank statement shall clearly display the logo and the					

PLEASE PUT FULL SIGNATURE OF FIRST HOLDER, SECOND HOLDER & THIRD HOLDER RESPECTIVELY AGAINST 'F1', 'F2' & 'F3' AND INITIALS OF FIRST HOLDER, SECOND HOLDER & THIRD HOLDER

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT

Depository Particology DP ID: 33600 • 61/8, 'Chandank	SEBI Regn	. No. : IN - D	P - 502 - 2	2020	22.							
Please fill all the d	etails in BL (OCK LETTER	S in Englis	sh								
Application No.	CDS -	D	P Interna	al Reference	No.							
Date		Account No. 1 2 0 3 3 6 0 0										
(To be filled by the Unique Stockbro Pvt. Ltd									. Ltd.			
I / We request you	· ·				s per t	he following de	tails :					
TYPE OF ACCOUN	II (Please t	ick whichev	er is appl									
Status		ual Daoidan	<u> </u>	Sub - St		adividual Dira	otor					
		ual Residen		_		ndividual - Direc	Cloi					
☐ Individual		ual Director				Minor	`					
		ual Promote		(8.4.8.1TD.4.)		others (Specify))					
			rading A/	c. (MANTRA)								
□ NRI	□ NRI Rej	'				IRI Non-Repatri						
	<u> </u>	patriable Pro				IRI Non-Repatri		Proi	mot	er		
☐ Foreign	'	pository Red	•			others (Specify)						
☐ Foreign National	☐ Foreign	National [☐ Foreig	ın National-De	posito	ory Receipts 🛚	Other	rs (Spec	ify)_		
HOLDER'S DETAIL	S											
Sole / First Holder's Name												
					UID							
Holder's Name					UID							
Holder's Name PAN Second Holder's					UID							
Holder's Name PAN Second Holder's Name												
Holder's Name PAN Second Holder's Name PAN Third Holder's												
Holder's Name PAN Second Holder's Name PAN Third Holder's Name					UID							
Holder's Name PAN Second Holder's Name PAN Third Holder's Name					UID							
Holder's Name PAN Second Holder's Name PAN Third Holder's Name PAN	s, Association	me of the r	natural pe	rsons, the nar	UID UID	the Firm, Assoc				•	_	
Holder's Name PAN Second Holder's Name PAN Third Holder's Name PAN Name* *In case of Firms account is opened Partnership Firm	s, Associations and the main state of the main s	nme of the r red Trust, et	natural pe tc., should	ersons, the nar	UID UID	the Firm, Assoc				•	_	
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Holder's Name PAN Second Holder's Name PAN Third Holder's Name PAN Name* *In case of Firms account is opened Partnership Firm DETAILS OF GUAF Guardian's Name	s, Associations and the main state of the main s	nme of the r red Trust, et	natural pe tc., should	ersons, the nar	UID UID Firm, me of abo	the Firm, Associve.				•	_	

		ne DP to receive each and every credit in my / our Accou he default option would be 'Yes')					count	1 -	utoma Yes	atic (Credit]	۷o	
our account with	hout any c	to instruct the DP to accept all the pledge instructions in my /out any other further instruction from my /our end (If not ault option would be 'No')					_		Yes			No	
Account Statem	•												
☐ As per SEBI Re													
I / We request y Sole / First Hold					•	•		 	Yes			No.	
I / We would lik	e to share	the emai	I ID with the	e RTA					Yes			No_	
I / We would lik marked the def			•	•	ne applica	ble box.	If not		Physic Both F		□ E ical & El		ronic onic
I / We wish to re as given below t [ECS is mandate	hrough EC	S (If not r	marked, the	default	option w	ould be			Yes			۷o	
BANK DETAILS	(Divider	nd Bank	Details)										
Bank Code (9-digit MICR (•												
IFSC Code (11c													
Account Numb	er												
Account Type		☐ Savir	ngs 🔲 Cur	rent	Ot	hers (Spe	ecify)						
Bank Name					Bran	ch Nam	e						
Bank Branch													
Address							City						
State		<u> </u>	Country				PIN						
(i) Photocopy ((or)	of the cand	elled cheq	que having th	ne name	e of the ac	count ho	older wh	nere '	the ch	nequ	e book i	is iss	ued,
(ii) Photocopy		nk Statem	nent / Passk	ook ha	ving nam	e and ac	dress o	of th	е во	and	not mo	ore t	than
3 months o	,												
in case of o	option (ii)		above, MICI	R code	of the bra	anch sho	ould be	pres	sent /	/ me	ntioned	no b	the
document .	·												
OTHER DETAILS													
Gross Annual	Income F	Range per	annum :										
Income Details	□ Up to ₹ 1,00,000 □ ₹ 5,00,000 □ ₹ 5,00,000 to ₹ 1					10,0	0,000						
	□ ₹ 10,0	□ ₹ 10,00,000 to ₹ 25,00,000 □ More then ₹ 25,00,000											
	OR Net	worth as o	on				₹_	(Net	worth sh	nould n	ot be older t	 :han 1	 year)
Occupation	tion ☐ Private / Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agricultu					ure							
	☐ Retire	ed		House	ewife	☐ Stude	ent □] Oth	ners _				
Please tick, if applicable:	☐ Polition	cally Expo	osed Person	(PEP)		□ Rela	ted to P	olitic	ally Ex	(pose	ed Perso	n (R	PEP)
Any other information :													

ADDITIONA	AL DETA	AILS							
SMS Alert	li	Yes MOBIL	E NO. +91						
Facility		/Jandatory,	if you are giving POA	A. Refer to Terms & C	onditions	attached hei	rewith	☐ Yes	□No
Transactions Using Secur	ed ¦		sh to avail the TRUST facility using the Mobile Number registered for SMS Alert Facility. ve read & understood the Terms & Conditions Prescribed by CDSL for the same.					□Yes	□No
Texting Faci (TRUST). Re to Terms an	fer		We wish to register the following clearing member IDs under my / our below itioned BO ID registered for TRUST						
Conditions									
page no. 14 15 for TRUS			ck Exchange Name / ID	Clearing Membe Name	er	Clearing Me ID (Option			
Easi]	If Yes, please Contact your DP for details [Facility through CDSL's websit: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]							
Mode of (•		xecution of Transaze)	actions: 🚨 Joir	ntly 🗆	Anyone o	f the H	Holder	
Consent f	or Co	mmunica	tion to be receive	d by first account ption would be Firs			holde	ers (Tic	k the
☐ All Hol			First Holder	☐ Second			ird Ho	older	
				ocument and terms and c					
of my / our kno particulars mer	owledge ntioned	as on the dat by me / us in t	te of making this applicati his form. I / We further ag	/ We declare that the partion. I / We agree and unde ree that any false / mislead nation and suitable action.	rtake to intir ing informat	nate the DP an	y change	e(s) in the	details
			Name	è		Sigr	nature		
Sole / First or Guardian (Incase of n	1	•			④ F1				
Second Ho					F2	!			
Third Hold	er				F3	1			
(Signatures	should	be prefera	bly in black ink)						
			1						
		ign across tograph)	F2	(Please sign across the Photograph)		F3		se sign a Photogr	
INTRODUC	CER'S	DETAILS	•						
Name									
		(Surname	e)	(Name)		(Middle N	lame)		
Address									
PAN					Phon	ie			
BO ID: 12	03360	00				·			
Trading A/						Signature	of Ir	troduc	er

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

- The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/ Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
- The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

- 3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
- 4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

- 5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts".
- 6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
- 7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

- 9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
- 10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

- 12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.
- 13. The Stock broker/ stock broker and depository participant shall not directly/ indirectly compel the clients to execute Power of Attorney (POA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute POA or DDPI.

Statement of account

- 14. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
- 15. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
- 16. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
- 17. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and / or Depository from time to time.

Manner of Closure of Demat account

- 18. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
- 19. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

- 20. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 21. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

- 22. As per Section 16 of Depositories Act, 1996,
 - 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 - 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

- 23. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
- 24. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

25. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

26. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

- 27. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 28. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
- 29. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 30. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
- 31. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 32. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

I/ We hereby acknowledge that I/ We have read and understood 'Rights and Obligations document' and also received copy of 'Rights and Obligations document'

	Name	Signature
Sole/ First Holder		F1
Second Holder		F2
Third Holder		F3

NOMINATION FORM

To. UNIQUE STOCKBRO PVT. LTD. 61/8 Chandanbala, Road # 25C, Date Sion West, Mumbai 400022 ☐ I/ We **nominate** the following person(s) who is/ are entitled to receive all Assets/ security balances lying in my/ our account, particulars whereof are given below, in the event of the death of the Sole Holder or the death of all the Joint Holders.

BO Account Details: DP ID: 120	33600	UCC/ Trading Code	
Name of the Sole/ First Holder			
Name of the Second Holder			
Name of the Third Holder			

Nomination	 Details		
Name	1 st Nominee	2 nd Nominee	3 rd Nominee
* First Name			
Middle Name			
* Last Name			
# Nomination Identification details [Please tick any one of the following and provide details of			
the same]			
☐ Photograph			
□ PAN			
☐ Aadhaar☐ Saving Bank A/c No.			
☐ Proof of Identity			
☐ Demat Account ID			
	(Please sign across the Photograph)	(Please sign across the Photograph)	(Please sign across the Photograph)
* Address			
* City			
* Pin			
* State			
* Country			
# Mobile No.		_	
#Tel./Fax No.			
#Email ID			
* Relationship with the BO			
Date of birth (mandatory if Nominee is a minor)			
□ Equally		urities [if not equally, please specif	
or	division shall be transferred to the	e first nominee mentioned in the forn	1 I
☐ Share of each			
Nominee	%	%	%
Note: Residua	l securities: in case of multiple no	minees, remaining after distribution	on of securities as per percentage

of allocation shall be transferred to the first Nominee.

Details of the Gu	rdian of I	Nominee(s) (i	f the Nomine	e is minor)		
Name	Guro	lian of 1 st Non	ninee	Gurdian of 2 nd Nom	ninee	Gurdian of 3 rd Nominee
* First						
Middle Name						
* Last Name			Age:		Age:	Age:
Address of						
the Guardian of nominee						
* City						
*Pin						
* State						
*Country						
#Mobile No.						
# Tel./Fax No.						
#Email ID						
* Relationship of the Guardian with the Nominee						
# Guardian Identification						
details [Please tick						
any one of the following and provide details						
of the same]						
□ Photograph□ PAN						
☐ Aadhaar						
☐ Saving Bank A/c No.						
☐ Proof of Identity						
☐ Demat Account ID	(Please	sign across the	Photograph)	(Please sign across the	Photograph)	(Please sign across the Photograph)
*Marked is Mar	datory	field	# Optional	Fields		
			Nam	е		Signature
Sole/ First Hold Guardian (in case of					x	
Second Holder					x	
Third Holder					х	
Note: Signature	of witr	ness, along	with name	and address are req	uired, if th	e account holder affixes thumb
impression, inste	_	nature [in bo	oth the cases	i.e. nomination / opt	out nomina	ation]
Name of Witne		1				
Address of Wit						
Signature of W	itness	x				
Place:		_				Date:

BO Account Details : D	P ID: 12033600	UCC/ Trac	ding Code	
understand the issue all the account holde claiming of assets he	on that I/ We do not wish to appoint any notes involved in non-appointment of nomine er(s), my/our legal heirs would need to subtled in my/our trading/demat account, which authority, based on the value of assets he	e(s) and furthe mit all the req ch may also inc	r are aware the uisite docume docume g/ dematacc	hat in case of death of ents/ information for ents issued by Court or count.
	Name		Sign	ature(s)
Sole/ First Holder or Guardian (in case of Minor)		x		
Second Holder		x		
Third Holder		x		
_	ness, along with name and address are nature [in both the cases i.e. nomination /	•		holder affixes thumb
Details of the Witness:				
Name of Witness				
Address of Witness				
Signature of Witness	x			
Place:	_		Date	2:
	FOR OFFICE USE O	NLY		
Nomination Form accor	oted and registered wide Registration No		da	ted
Normination Form acces	nted and registered wide Registration No			e Stockbro Pvt. Ltd.
Entered by	Verified by		A	uthorised Signatory
	Acknowledgement	Receipt		
Received Nomination fr	om:			
BO Account Details: BO	ID: 12033600 UCC/	Trading Code		
Sole/ First Holder Name				
Address				
First Nominee				%
Second Nominee				%
Third Nominee	1	. 1		%
Registration No.	Regist	red on		

 $\hfill \square$ Would like to **Opt Out Nomination**

No Nomination

For Unique Stockbro Pvt. Ltd.

<u>Aadhaar Consent – KYC ONLY</u>

I/We hereby submit voluntarily at my/our own discretion, the physical copy of Aadhaar card/physical e-Aadhaar / masked Aadhaar / offline electronic Aadhaar xml as issued by UIDAI (Aadhaar), to Unique Stockbro Private Limited for the purpose of establishing my/our identity / address proof and voluntarily give my/our consent to open account / process instructions for the said purpose with Unique Stockbro Private Limited in my/our name/s individual capacity/ies using my/our Aadhaar or as an authorized signatory in non-individual accounts and; hereby consent to Unique Stockbro Private Limited for verification of my/our Aadhaar to establish its genuineness through Quick Response (QR) code embedded in the Aadhaar card or through such other acceptable manner as per UIDAI or under any Act or law from time to time. The consent and purpose of collecting Aadhaar has been explained to me/us in local language. Unique Stockbro Private Limited has informed me/us that my/our Aadhaar submitted to Unique Stockbro Private Limited herewith shall not be used for any purpose other than mentioned above, or as per requirements of law.

Unique Stockbro Private Limited has informed me/us that this consent and my/our Aadhaar will be stored along Unique Stockbro Private Limited has informed me/us that this consent and my/our Aadhaar will be stored along with my/our account details within the Unique Stockbro Private Limited.

I/We hereby declare that all the information voluntarily furnished by me/us is true, correct and complete. I/We will not hold Unique Stockbro Private Limited or any of its officials responsible in case of any incorrect information provided by me/us.

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature			

DECLARATION BY THE CLIENT IN RESPECT OF HIS BENEFICIAL OWNER'S ACCOUNT

	NAME:	
	ADDRESS:	
Date:		

To.

UNIQUE STOCKBRO PVT. LTD.

DP: Central Depository Services (India) Ltd. (CDSL) Member: Bombay Stock Exchange Limited (BSE)

Member: National Stock Exchange of India Limited (NSE)

61/8, 'Chandanbala', Road No. 25-C, Sion (West), Mumbai – 400 022

Dear Sir (s),

Sub: Declaration in respect of my / our Beneficial Owner Account

As you are aware that I / We have executed DP-BO relationship. In Addition to that I / We would like to declare the followings.

- 1. You will not be liable to me / us in any manner towards losses, liabilities and / or expenses arising from the claims of third parties or for any losses, liabilities and / or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to my / our account.
- 2. The fees, charges payable by me / us to you will be exclusive of Service Tax, Education Cess, Secondary and Higher Secondary Education Cess, other taxes at applicable rates from time to time and out of pocket expenses incurred by you. All these payments will be borne by me / us.
- 3. I / We will take your prior permission of before I / We deal in a Company / Scrip wherein I / We am / are insider as per the provisions of SEBI (Prohibition of Insider Trading) Regulations, 1992 (as amended thereafter) and affecting operations of my / our demat account.
- 4. I / We will not act alone or in concert with others, directly or indirectly, knowingly or unknowingly to control or manipulate the particular scrip or scrips with or without the help of the management of that particular scrip or scrips.
- 5. I / We authorise you to set off or forfeit any credit lying with Unique Stockbro Pvt. Ltd. in any segement(s) of any Exchange(s) towards any legitimate dues payable to you
- 6. I / We will not make any untrue statement or suppress any material fact in any documents, reports, papers or information furnished to you.
- 7. I / We will be responsible for the acts or omissions by me / us or my / our employees or agents or authorized representative or sub-brokers as may be applicable in respect of the conduct of my / our demat account.
- 8. I / We will solely bear interest, penalty, legal fees or other charges levied by any statutory body or in the case of any legal proceedings attributable to my / our demat account and operations therein. You will recover the same from me / us.
- 9. I / We will carefully preserve Delivery Instruction Slip Book(s), make necessary safety arrangements to avoid any fraud or misuse of the same. I / We will constantly reconcile slips issued and the balance slips lying with me / us from time to time. I / We will also abide all instructions mentioned in the Delivery Instruction slips issued to me / us. I / We will promptly inform you in writing about lost or stolen slips. I / We will solely bear any loss arising out of non compliance with any or all these issues relating to Delivery Instruction Slip Book(s).

- 10. I / We will be responsible for:
 - The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents.
 - The authenticity and genuineness of all certificates and / or documents submitted to you along with or in support of the account opening form or subsequently for dematerialisation;
 - · Title to the securities submitted to you from time to time for dematerialisation.
 - Ensuring at all times that the securities to the credit of my / our account are sufficient to meet the instructions issued to you for effecting any transaction;
 - Informing you at the earliest of any changes in my / our account particulars of such as address, bank details, status, authorisations, mandates, nomination, signatures, etc.;
 - · Furnishing accurate identification details whilst subscribing to any issue of securities.
- 11. I / We will indemnify you and keep you indemnified and save harmless you and your employees and servants from and against all harm, loss, damage and injury and all claims, demands, suits, actions, litigation, prosecution or every other proceeding whatsoever (and all costs, charges and expenses relating thereto) suffered or incurred by you on account of or as a reason of any certificates or other documents in respect of the securities submitted to you being forged, fabricated, counterfeit, stolen, cancelled or being otherwise invalid or such securities being subject to any decree, order or injunction of any court, tribunal, Central or State Government or any other authority empowered in that behalf restraining or prohibiting transfer of those securities or the transfer of such securities being in breach of any undertaking or covenant in favour of any statutory body or authority.
- 12. You may terminate the DP-BO relationship without assigning any reasons for such termination in following events of
 - a. failing to pay the fees or charges as may be mutually agreed upon within a period of thirty days from the date of demand made in that behalf;
 - b. submitting for dematerialisation any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court, tribunal, Central or State Government, SEBI or any statutory or revenue authority or under any covenant or undertaking given to any statutory authority;
 - c. committing or participating in any fraud or other act of moral turpitude in my / our dealings with you;
 - d. otherwise misconducting in any manner,
- 13. In the event of you terminating the DP-BO relationship, you will issue at least one month's prior notice in writing to me /us in that behalf and you will call upon me / us to specify whether I / We desire to have the security balances in my / our account transferred to my / our account with another Participant or to have the same rematerialised in the manner specified in the Bye Laws and you will accordingly close my / our account.
- 14. Notwithstanding termination of the DP-BO relationship by you or by me / us, all mutual rights and obligations arising therefrom will, except in so far as the same are contrary to or inconsistent with such termination or closure, will continue to be binding on the parties in respect of all acts, deeds, matters and things done and transactions effected during the period when the said relationship was effective.

Thanking you

Yours faithfully,

	Name	Signature
Sole / First Holder		⑤F1
Second Holder		F2
Third Holder		F3

SMS ALERTS FACILITY

Terms And Conditions-cum-Registration Form for receiving SMS Alerts from CDSL (SMS Alerts will be sent by CDSL to BOs for all debits)

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- 1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- 2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- 3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- 4. SMS means "Short Messaging Service"
- 5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- 6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- 7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

- 1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- 2. The service is currently available to the BOs who are residing in India.
- 3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- 4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- 5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

- 1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- 2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- 3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- 4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and / or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred / suffered by the BO on account of opting to avail SMS alerts facility.

- 5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
- 6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- 8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- 9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and / or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use / misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I / We wish to avail the SMS Alerts facility provided by the depository on my / our mobile number provided in the registration form subject to the terms and conditions mentioned below. I / We consent to CDSL providing to the service provider such information pertaining to account / transactions in my / our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I / We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I / We further undertake to pay fee / charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I / We am / are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I / We pr	ovide	the fol	llowing	g infor	matio	n for t	he pur	pose (of RE	GISTR	ATION							
BO ID	1	2	0	3	3	6	0	0										
	•	•	•	•	•	•	•	•		•	•	(Ple	ase w	rite yo	ur 8 di	git Cli	ent II	D)
Sole / Fir	st Holo	der's N	lame	:_								,						
Second H	lolder′	s Nam	ie	:_		· · · · · ·												
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Fmail ID:																		
Email ID: _	(P	lease v	write c	only Of	NE vali	id ema	il ID o	n whic	h con	nmuni	ication	; if ar	ny, is	to be se	nt)			
Name												Signature						
Sole / First Holder									⑥F1									
Second	Holde	r											F2					
Third H	older												F3					
												-						
Place:														Da	te:			

Terms & Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL

1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. "Depository" means Central Depository Services (India) Limited (CDSL)
- ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
- iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
- iv. "Service" means the service of providing facility to receive / give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
- v. "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
- 2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
- 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
- 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send / receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
- 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
- 6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
- 7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
- 8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
- 9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO / CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP.
- 10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit

- 11. The BO further acknowledges that the BO / CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
- 12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
- 13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
- 14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
- 15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
- 16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.

17. Indemnity:

In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

18. Disclaimer:

Depository shall be absolved of any liability in case:-

- a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
- b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
- c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

I / We provide the following information for the purpose of **REGISTRATION**

|--|

(Please write your 8 digit Client ID)

	Name	Signature
Sole / First Holder		⊙ F1
Second Holder		F2
Third Holder		F3

AUTHORISATION FOR DEBIT OF DEMAT CHARGES

			NAME:
			ADDRESS:
_			
Date:_			
DP: Ce Memb Memb 61/8, '	entral er: B er: N Chan	Depositombay S ational S danbala	PVT. LTD. ory Services (India) Ltd. (CDSL) stock Exchange Limited (BSE) Stock Exchange of India Limited (NSE) 7, Road No. 25-C, ni – 400 022
Dear S	iirs,		Sub - Downsont of Downst Charges
			Sub : Payment of Demat Charges Reference : My / Our BO ID No. : 12033600
I / We	1 st	Holder	<u> </u>
	2 nd	Holder	<u> </u>
	3 rd	Holder	<u>. </u>
		at accou	nt with your company. I / We are also your client(s) in Cash / Derivatives segments of your company.
		oy instru demat	ct you to debit my /our account in Cash Segment or Derivatives Segments of your company towards charges.
			actions is valid from the date of opening of demat account and would remain in force until it is in writing or on closure of demat account.
Thanki	ing yo	ou	
Yours	faithí	fully,	
			1

	Name	Signature
Sole / First Holder		® F1
Second Holder		F2
Third Holder		F3

							AD	DRESS:								
Date:																
To. UNIQUE STOCKBRO 61/8 Chandanbala, Ro Sion West, Mumbai 4	ad # 2															
Sub: Common Mob	ile N	umbe	r/Ema	il Id v	vithin	Famil	y men	nbers								
Dear Sir/Madam,																
l Mr./Ms																
do hereby instruct	you t	to reg	ister s	same	mobil	e num	nber a	nd E	mail a	ddres	s belo	nging	to o	ır fam	ily m	ember
Mr./Ms																
as we belong to th	e sam	ne fan	nily ("	Famil	y" for	this p	urpos	e wou	uld me	ean se	elf, spc	use, c	depen	dent d	hildre	n and
dependent parents) and	we ne	eed th	e san	1е Мо	bile a	nd/or	Email	ID for	our	conve	nience				
In view of the abov	/e ple	ase re	egister	follo	wing N	∕lobile	and/	or Em	ail ID:							
BO ID	1	2	0	3	3	6	0	0								
Mobile Number																
Email ID																
					N	ame							Signa	ature		
Sole/First Holder											9 F	1				
Second Holder											F	2				
											l					

Third Holder

NAME:___

F3

TARIFF SHEET (w.e.f. 01/04/2023)

Service	Charges						
Туре	Individual/ HUF	Non Individual					
Annual Maintenance Charges	Free for lifetime	₹ 600/- Yearly*					
Refundable Deposit (Interest Free)	₹ 3000/-**	N. A.					
Transaction charges	With Unique	Outside Unique					
Sale Transaction	₹ 25/- per transaction	0.02% of value per transaction [Min. ₹ 100/- and Max. ₹ 500/-]					
Margin Pledge creation/ Closure/ Invocation	₹ 25/- per transaction	0.02% of value per transaction [Min. ₹ 100/- and Max. ₹ 500/-]					
Pledge creation/ Closure/ Invocation (Other than Margin)	0.02% of value per ISIN [Min. ₹ 250/- and Max. ₹ 1000/-]						
Dematerialisation	₹ 50/- per certificate [Min. ₹ 250/- per request] plus ₹ 100/- Courier Charges						
Rematerialisation	₹ 50/- for every 100 shares or part there of [Min. ₹ 250/- per request] plus ₹ 100/- Courier Charges						
Mutual Fund : Destate/ Restate/ Redemption	₹ 250/- per request plus ₹ 100/- Courier Charges						

Notes:

- 1. *In case of Corporate accounts, an additional amount ₹ 500/- P. A. payable to CDSL would be collected
- 2. Payment of all charges should be by way of NEFT/ Transfer/ Cheque favouring Unique Stockbro Pvt. Ltd.
- 3. Pledge Creation/ Closure/ Invocation Charges are calculated based on the closing price of the Scrip on BSE/ NSE as on execution day as received from CDSL.
- 4. Transaction cum Holding Statement will be sent electronically at the end of the month whenever there is a transaction, or else it would be sent quarterly in accordance with mandate received and/ or as per SEBI/ CDSL regulations.
- 5. In case of lost or misplaced Delivery Instruction Slip (DIS) booklet, fresh DIS Booklet will be issued only on written request and ₹ 100/- would be charged for the same.
- 6. Unique Stockbro Pvt. Ltd. Depository Services reserves the right to revise its tariff at its absolute discretion.
- 7. All statutory levies including GST shall be levied as applicable
- 8. Interest @13% per annum shall be charged, if bill is not paid within 30 days from the Bill date.
- 9. Additional Physical Statement Charges ₹ 25/- per request.
- 10. ** Deposit amount of ₹ 3000/- will be refunded after closure of Account without any interest.

	BO ID NO.	1	2	0	3	3	6	0	0								
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☐ I/ We hereby confirm that I/ We do not wish to avail BSDA facility for my/ our Account

I/ We have read and understood the above. All charges and other contents mentioned hereinabove are acceptable to me/ us.

	Name	Signature
Sole/ First Holder		F1
Second Holder		F2
Third Holder		F3