

Dear Client,

Welcome to Unique Stockbro Pvt. Ltd.!

We thank you for choosing us. Since our establishment in 1984, we have strived to offer our clients with premium services and undivided attention. We promise that in all our interactions, we will strongly adhere to the core pillars of our philosophy – simplicity, confidentiality, and transparency. Your needs will be of utmost importance to us and we will ensure that you have a hassle-free experience.

Please co-operate with us by completing all the relevant forms and submitting the required documents as mentioned in this booklet. All forms in the booklet have been tailored to be simple and understandable. Instructions and suggestions have been placed at relevant places to guide you through the form and to help avoid any confusion or problems. Ease of completion has been our primary aim.

Should you find any section incomprehensible, please feel free to contact us – we will love to address your concerns and assist you accordingly.

Looking forward to a long and fruitful relationship,

Regards,

Team Unique

INSTRUCTIONS:

1. Self attested copy of PAN card is Mandatory for all Holder(s)
2. Please fill in KYC Form in Block Letters with **BLACK INK**. All Signatures should be preferably in **BLACK INK**.
3. Please submit copy of cancelled Cheque leaf in the name of Applicant. If cheque copy is non-personalized then please submit Self attested Copy of Bank Statement with name and address of the Holder and such bank statement shall not be more than 3 months old.

ADDITIONAL REQUIREMENT	
Types of entity	Documentary requirements
Corporate	Copy of the balance sheets for the last 2 financial years (to be submitted every year)
	Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD(to be submitted every year)
	Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations
	Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly
	Copies of the Memorandum and Articles of Association and certificate of incorporation
	Copy of the Board Resolution for investment in securities market
	Authorised signatories list with specimen signatures
	Certified true copy of the Board Resolution for investment in securities market Authorised Signatory(ies) list with specimen signature. The resolution may specify the manner of operation of the account and authority given to the Authorised signatory(ies) to open and operate the account
	If authorised signatory are different then whole time director or promoters then their photograph, POI, POA and PAN
Partnership firm	Copy of the balance sheets for the last 2 financial years (to be submitted every year)
	Certificate of registration (for registered partnership firms only)
	Copy of partnership deed
	Authorised signatories list with specimen signatures
	Photograph, POI, POA, PAN of Partners
Trust	Copy of the balance sheets for the last 2 financial years (to be submitted every year)
	Certificate of registration (for registered trust only).Copy of Trust deed
	List of trustees certified by managing trustees/CA
	Photograph, POI, POA, PAN of Trustees
HUF	PAN of HUF
	Deed of declaration of HUF/List of coparceners
	Bank pass-book/bank statement in the name of HUF
	Photograph, POI, POA, PAN of Karta

Proof of Identity (POI) (any one) Applicable to All Directors / Authorised Signatory(ies)

- ☐ PAN card with photograph (Compulsary)
 ☐ Voter ID card
☐ Passport (Photo & Address Page)
 ☐ Driving License
☐ Photo Identity card issued by / Regulatory Bodies, Banks, Universities, PSUs and Professional Bodies

Proof of Address (POA) (any one) Applicable to All Directors / Authorised Signatory(ies)

- ☐ Ration Card
 ☐ Flat Maintenance Bill*
☐ Passport
 ☐ Telephone Bills*
☐ Voter ID card
 ☐ Insurance Copy*
☐ Electricity Bills*
 ☐ Registered Lease / Sale Agreement of Residence
☐ Gas Bill*
 ☐ Identity card with address issued by Statutory/Regulatory Bodies, Banks, Universities, PSUs and Professional Bodies
☐ Driving License
 ☐ The proof of address in the name of the spouse may be accepted
☐ Bank Passbook/Statement*

*Documents having an expiry date should be valid on the date of submission. Documents validity not more than 3 months old.

PLEASE PUT FULL SIGNATURE OF FIRST SIGNATORY, SECOND SIGNATORY & THIRD SIGNATORY RESPECTIVELY AGAINST 'F1', 'F2' & 'F3' AND INITIALS OF FIRST HOLDER, SECOND HOLDER & THIRD HOLDER RESPECTIVELY AGAINST 'I1', 'I2' & 'I3'

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT

(FOR NON - INDIVIDUALS)

Depository Participant - **UNIQUE STOCKBRO PVT. LTD.**
 DP ID : 33600 • SEBI Regn. No. : IN - DP - 502 - 2020
 61/8, 'Chandanbala', Road No. 25-C, Sion (W), Mumbai - 400 022.

Please fill all the details in **BLOCK LETTERS** in English

Application No.	CDS -	DP Internal Reference No.	
Date		Account No.	<div style="display: flex; justify-content: space-between;"> 12033600 </div>

(To be filled by the Unique Stockbro Pvt. Ltd.)

I/We request you to open a Demat Account in my / our name as per the following details :

HOLDER'S DETAILS			
Sole / First Holder's Name			
PAN		UID	
Second Holder's Name			
PAN		UID	
Third Holder's Name			
PAN		UID	

Name *	
<small>* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons(AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above</small>	

TYPE OF ACCOUNT (Please tick whichever is applicable)			
Status <input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> CM <input type="checkbox"/> OCB <input type="checkbox"/> FI <input type="checkbox"/> FII <input type="checkbox"/> Clearing House <input type="checkbox"/> Individual HUF/AOP <input type="checkbox"/> Others (Specify) _____			Sub - Status (To be filled by the DP)
Date of Incorporation	<div style="display: flex; justify-content: space-between;"> </div>		
SEBI Registration No. (If Applicable)	SEBI Registration Date	<div style="display: flex; justify-content: space-between;"> </div>	
RBI Registration No. (If Applicable)	RBI Approval Date	<div style="display: flex; justify-content: space-between;"> </div>	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Other (Specify) _____		

Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly		
I / We Instruct the DP to receive each and every credit in my / our Account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No		
I/We request you to send Electronic Transaction - cum - Holding Statement at Sole / First Holders Email ID stated in the KYC or at _____	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is Mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No		

Exchange Name	BSE	NSE	UCC	
ID	0170	10721		

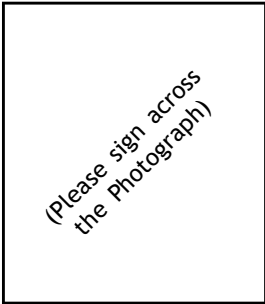

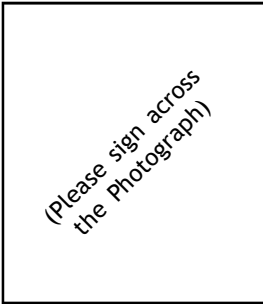
BANK DETAILS (Dividend Bank Details)

Bank Code (9-digit MICR Code)									
IFS Code (11 character)									
Account No.									
Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others (Specify) _____								
Bank Name									
Branch Name									
Bank Branch Address							City		
State				Country			PIN		

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 (ii) Photocopy of the Bank Statement having name and address of the BO
 (iii) Photocopy of the Passbook having name and address of the BO, (or)
 (iv) Letter from the Bank.

In case of option (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document .

I/We have received and read the document of 'Rights and Obligation of BO-DP' (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature	F1	F2	F3
Passport Size Photograph	F1 	F2 	F3 

(Signatures should be preferably in black ink)

(In case of more authorised signatories, please add annexure)

INTRODUCER'S DETAILS	
Name	<div></div> <div>(Surname) (Name) (Middle Name)</div>
Address	<div></div> <div></div>
PAN	<div></div> <div>Phone</div>
BO ID: 12033600	
Trading A/c. No.: _____	Signature of Introducer

OTHER DETAILS

Gross Annual Income Details	Income Range per annum:											
	<input type="checkbox"/> Up to ₹ 1,00,000				<input type="checkbox"/> ₹ 1,00,000 to ₹ 5,00,000				<input type="checkbox"/> ₹ 5,00,000 to ₹ 10,00,000			
	<input type="checkbox"/> ₹ 10,00,000 to ₹ 25,00,000				<input type="checkbox"/> ₹ 25,00,000 to ₹ 1,00,00,000				<input type="checkbox"/> More than ₹ 1,00,00,000			
	Net worth as on											₹
	(Date)											
[Net worth should not be older than 1 year]												
Please tick If any of the authorized signatories / Promoters / Partners / Karta / Trustees / Whole Time Directors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP).												
Sr.No	Name of the Authorized signatories /Promoters /Partners / Karta/ Trustees /Whole Time Directors							Relation with the holder (i.e. promoters, whole time directors etc			Please tick the relevant option.	
											<input type="checkbox"/> PEP <input type="checkbox"/> RPEP	
											<input type="checkbox"/> PEP <input type="checkbox"/> RPEP	
											<input type="checkbox"/> PEP <input type="checkbox"/> RPEP	
											<input type="checkbox"/> PEP <input type="checkbox"/> RPEP	
											<input type="checkbox"/> PEP <input type="checkbox"/> RPEP	
Any other information												

ADDITIONAL HOLDERS DETAILS

Sole / First Holder's Name												
UID												
Second Holder's Name												
UID												
Third Holder's Name												
UID												
I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my/our end (If not marked, the default option would be 'No')										<input type="checkbox"/> Yes <input type="checkbox"/> No		
I / We would like to share the email ID with the RTA										<input type="checkbox"/> Yes <input type="checkbox"/> No		
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be Physical)										<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic		

	Sole / First Signatory	Second Joint Signatory	Third Joint Signatory
Name			
Signatures	F1	F2	F3

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/ Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that “no charges are payable for opening of demat accounts”.
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.
13. The Stock broker/ stock broker and depository participant shall not directly/ indirectly compel the clients to execute Power of Attorney (POA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute POA or DDPI.

Statement of account

14. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
15. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
16. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
17. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and / or Depository from time to time.

Manner of Closure of Demat account

18. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
19. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

20. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
21. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

22. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

23. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
24. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

25. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

26. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

27. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
28. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
29. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
30. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
31. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
32. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

I/ We hereby acknowledge that I/ We have read and understood 'Rights and Obligations document' and also received copy of 'Rights and Obligations document'

	Name	Signature
Sole/ First Holder		F1
Second Holder		F2
Third Holder		F3

DECLARATION BY THE CLIENT IN RESPECT OF HIS BENEFICIAL OWNER'S ACCOUNT

NAME:_____

ADDRESS:_____

Date:_____

To,
UNIQUE STOCKBRO PVT. LTD.

DP: Central Depository Services (India) Ltd. (CDSL)

Member: Bombay Stock Exchange Limited (BSE)

Member: National Stock Exchange of India Limited (NSE)

61/8, 'Chandanbala', Road No. 25-C,

Sion (West), Mumbai – 400 022

Dear Sir (s),

Sub: Declaration in respect of my / our Beneficial Owner Account

As you are aware that I / We have executed DP-BO Agreement. In Addition to that I / We would like to declare the followings.

1. You will not be liable to me / us in any manner towards losses, liabilities and / or expenses arising from the claims of third parties or for any losses, liabilities and / or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to my / our account.
2. The fees, charges payable by me / us to you will be exclusive of Service Tax, Education Cess, Secondary and Higher Secondary Education Cess, other taxes at applicable rates from time to time and out of pocket expenses incurred by you. All these payments will be borne by me / us.
3. I / We will take your prior permission of before I / We deal in a Company / Scrip wherein I / We am / are insider as per the provisions of SEBI (Prohibition of Insider Trading) Regulations, 1992 and affecting operations of my / our demat account.
4. I / We will not act alone or in concert with others, directly or indirectly, knowingly or unknowingly to control or manipulate the particular scrip or scrips with or without the help of the management of that particular scrip or scrips.
5. I / We authorise you to set off or forfeit any credit lying with Unique Stockbro Pvt. Ltd. in any segment(s) of any Exchange(s) towards any legitimate dues payable to you
6. I / We will not make any untrue statement or suppress any material fact in any documents, reports, papers or information furnished to you.
7. I / We will be responsible for the acts or omissions by me / us or my / our employees or agents or authorized representative or sub-brokers as may be applicable in respect of the conduct of my / our demat account.
8. I / We will solely bear interest, penalty, legal fees or other charges levied by any statutory body or in the case of any legal proceedings attributable to my / our demat account and operations therein. You will recover the same from me / us.
9. I / We will carefully preserve Delivery Instruction Slip Book(s), make necessary safety arrangements to avoid any fraud or misuse of the same. I / We will constantly reconcile slips issued and the balance slips lying with me / us from time to time. I / We will also abide all instructions mentioned in the Delivery Instruction slips issued to me / us. I / We will promptly inform you in writing about lost or stolen slips. I / We will solely bear any loss arising out of non compliance with any or all these issues relating to Delivery Instruction Slip Book(s).

10. I / We will be responsible for:

- The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents and the Agreement;
- The authenticity and genuineness of all certificates and / or documents submitted to you along with or in support of the account opening form or subsequently for dematerialisation;
- Title to the securities submitted to you from time to time for dematerialisation.
- Ensuring at all times that the securities to the credit of my / our account are sufficient to meet the instructions issued to you for effecting any transaction;
- Informing you at the earliest of any changes in my / our account particulars of such as address, bank details, status, authorisations, mandates, nomination, signatures, etc.;
- Furnishing accurate identification details whilst subscribing to any issue of securities.

11. I / We will indemnify you and keep you indemnified and save harmless you and your employees and servants from and against all harm, loss, damage and injury and all claims, demands, suits, actions, litigation, prosecution or every other proceeding whatsoever (and all costs, charges and expenses relating thereto) suffered or incurred by you on account of or as a reason of any certificates or other documents in respect of the securities submitted to you being forged, fabricated, counterfeit, stolen, cancelled or being otherwise invalid or such securities being subject to any decree, order or injunction of any court, tribunal, Central or State Government or any other authority empowered in that behalf restraining or prohibiting transfer of those securities or the transfer of such securities being in breach of any undertaking or covenant in favour of any statutory body or authority.

12. You may terminate the agreement without assigning any reasons for such termination in following events of

- a. failing to pay the fees or charges as may be mutually agreed upon within a period of thirty days from the date of demand made in that behalf;
- b. submitting for dematerialisation any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court, tribunal, Central or State Government, SEBI or any statutory or revenue authority or under any covenant or undertaking given to any statutory authority;
- c. committing or participating in any fraud or other act of moral turpitude in my / our dealings with you;
- d. otherwise misconducting in any manner,

13. In the event of you terminating the Agreement you will issue at least one month's prior notice in writing to me / us in that behalf and you will call upon me / us to specify whether I / We desire to have the security balances in my / our account transferred to my / our account with another Participant or to have the same rematerialised in the manner specified in the Bye Laws and you will accordingly close my / our account.

14. Notwithstanding termination of the Agreement by you or by me / us, the provisions of the agreement and all mutual rights and obligations arising therefrom will, except in so far as the same are contrary to or inconsistent with such termination or closure, will continue to be binding on the parties in respect of all acts, deeds, matters and things done and transactions effected during the period when the Agreement was effective.

Thanking you

Yours faithfully,

	Name	Signature
Sole / First Holder		F1
Second Holder		F2
Third Holder		F3

SMS ALERTS FACILITY

Terms And Conditions-cum-Registration Form for receiving SMS Alerts from CDSL

(SMS Alerts will be sent by CDSL to BOs for all debits)

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and / or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred / suffered by the BO on account of opting to avail SMS alerts facility.

5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and / or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use / misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I / We wish to avail the SMS Alerts facility provided by the depository on my / our mobile number provided in the registration form subject to the terms and conditions mentioned below. I / We consent to CDSL providing to the service provider such information pertaining to account / transactions in my / our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I / We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I / We further undertake to pay fee / charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I / We am / are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I / We provide the following information for the purpose of **REGISTRATION** (Please cancel out what is not applicable).

BO ID	1	2	0	3	3	6	0	0								
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(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which
message are to be sent

+91											
------------	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: _____

Email ID: _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)

	Name	Signature
Sole / First Holder		F1
Second Holder		F2
Third Holder		F3

Place: _____

Date: _____

Terms & Conditions for availing Transaction Using Secured Texting (TRUST)

Service offered by CDSL

1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. “Depository” means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means “Transactions Using Secured Texting” service offered by the Depository.
 - iii. “Service Provider” means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. “Service” means the service of providing facility to receive / give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. “Third Party” means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send / receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
 6. The BO shall be responsible for submitting response to the ‘Responsive SMS’ within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
 7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
 8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
 9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO / CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP .
 10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit

11. The BO further acknowledges that the BO / CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.

17. Indemnity:

In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

18. Disclaimer:

Depository shall be absolved of any liability in case:-

- a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
- b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
- c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

I / We provide the following information for the purpose of **REGISTRATION** (Please cancel out what is not applicable).

BO ID	1	2	0	3	3	6	0	0								
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(Please write your 8 digit Client ID)

	Name	Signature
Sole / First Holder		F1
Second Holder		F2
Third Holder		F3

AUTHORISATION FOR DEBIT OF DEMAT CHARGES

From

Date : _____

To,
UNIQUE STOCKBRO PVT. LTD.
Member: Bombay Stock Exchange Limited (BSE)
Member: National Stock Exchange of India Limited (NSE)
Member: MCX Stock Exchange Limited (MCX-SX)
DP: Central Depository Services (India) Ltd. (CDSL)
61/8, 'Chandanbala', Road No. 25-C,
Sion (West), Mumbai - 400 022

Dear Sirs,

Sub : Payment of Demat Charges

Reference : Our BO ID No. : 12033600

We _____
have a demat account with your company. We are also your client(s) in Cash / Derivatives segments of your company. Our Client Id is _____.

We hereby instruct you to debit our account in Cash Segment or Derivatives Segments with any exchange your company towards recovery of demat charges.

This standing instructions is valid from the date of opening of demat account and would remain in force until it is revoked by us in writing or on closure of demat account.

Thanking you

Yours faithfully,

F1 _____
First Signatory

F2 _____
Second Signatory

F3 _____
Third Signatory

TARIFF SHEET (w.e.f. 01/04/2023)

Service	Charges	
Type	Individual/ HUF	Non Individual
Annual Maintenance Charges	Free for lifetime	₹ 600/- Yearly*
Refundable Deposit (Interest Free)	₹ 3000/-**	N. A.
Transaction charges	With Unique	Outside Unique
Sale Transaction	₹ 25/- per transaction	0.02% of value per transaction [Min. ₹ 100/- and Max. ₹ 500/-]
Margin Pledge creation/ Closure/ Invocation	₹ 25/- per transaction	0.02% of value per transaction [Min. ₹ 100/- and Max. ₹ 500/-]
Pledge creation/ Closure/ Invocation (Other than Margin)	0.02% of value per ISIN [Min. ₹ 250/- and Max. ₹ 1000/-]	
Dematerialisation	₹ 50/- per certificate [Min. ₹ 250/- per request] plus ₹ 100/- Courier Charges	
Rematerialisation	₹ 50/- for every 100 shares or part there of [Min. ₹ 250/- per request] plus ₹ 100/- Courier Charges	
Mutual Fund : Destate/ Restate/ Redemption	₹ 250/- per request plus ₹ 100/- Courier Charges	

Notes:

- *In case of Corporate accounts, an additional amount ₹ 500/- P. A. payable to CDSL would be collected
- Payment of all charges should be by way of NEFT/ Transfer/ Cheque favouring **Unique Stockbro Pvt. Ltd.**
- Pledge Creation/ Closure/ Invocation Charges are calculated based on the closing price of the Scrip on BSE/ NSE as on execution day as received from CDSL.
- Transaction - cum - Holding Statement will be sent electronically at the end of the month whenever there is a transaction, or else it would be sent quarterly in accordance with mandate received and/ or as per SEBI/ CDSL regulations.
- In case of lost or misplaced Delivery Instruction Slip (DIS) booklet, fresh DIS Booklet will be issued only on written request and ₹ 100/- would be charged for the same.
- Unique Stockbro Pvt. Ltd. Depository Services reserves the right to revise its tariff at its absolute discretion.
- All statutory levies including GST shall be levied as applicable
- Interest @13% per annum shall be charged, if bill is not paid within 30 days from the Bill date.
- Additional Physical Statement Charges ₹ 25/- per request.
- ** Deposit amount of ₹ 3000/- will be refunded after closure of Account without any interest.

BO ID NO.	1	2	0	3	3	6	0	0								
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☐ I/ We hereby confirm that I/ We do not wish to avail BSDA facility for my/ our Account

I/ We have read and understood the above. All charges and other contents mentioned hereinabove are acceptable to me/ us.

	Name	Signature
Sole/ First Holder		F1
Second Holder		F2
Third Holder		F3

DECLARATION BY HUF

NAME : _____

ADDRESS : _____

Date : _____

To,
UNIQUE STOCKBRO PVT. LTD.

Member: Bombay Stock Exchange Limited (BSE)

Member: National Stock Exchange of India Limited (NSE)

Member: MCX Stock Exchange Limited (MCX-SX)

DP: Central Depository Services (India) Ltd. (CDSL)

61/8, 'Chandanbala', Road No. 25-C,

Sion (West), Mumbai - 400 022

Dear Sir,

We the undersigned the present Karta or Managing Member and we the undersigned are the present member of our Joint HINDU UNDIVIDED FAMILY known as _____ (HUF).

We are desirous of opening a Demat Account in the name of _____ (HUF) with you.

The said account will be operated by _____ (KARTA)
on behalf of _____ (HUF).

We below mentioned persons are co-Parcener of above mentioned (HUF).

Sr. No.	Name	Sex	Relationship	Age	Date of Birth
1		M/F			
2		M/F			
3		M/F			
4		M/F			
5		M/F			
6		M/F			

In the event of the you acceding to our request and opening the account in the name _____ (HUF), we undersigned, undertake that all the transactions undertaken by the said (HUF) shall be binding not only on the present members of the said joint family (both adults and minors) but also all future members thereof (both adults and minors) and all persons entitled to a share therein and ourselves personally.

Also that whenever any change occurs in the managership or in the constitution of the said joint family of whatsoever nature, we undertake to give notice thereof to you.

Thanking You,

Yours Faithfully

Members of _____ (HUF)

Sr.No.	Name	Designation	Signature
1		Karta	
2		Co-Parcener	
3		Co-Parcener	
4		Co-Parcener	
5		Co-Parcener	
6		Co-Parcener	

(ON THE LETTERHEAD OF THE COMPANY)
RESOLUTION

Certified true copy of Board Resolutions passed in the meeting of Board of Directors of _____ ('Company') held on _____, 20__ at its registered office at _____.

It was discussed in the meeting that Trading and Beneficiary Owner (BO) Account be opened with Unique Stockbro Private Limited (Member of BSE, NSE & MCX-SX and Depository Participant of Central Depository Services (I) Limited). In this connection, following resolutions were passed.

RESOLVED THAT

1. Trading and Beneficiary Owner (BO) Account for the Company be opened with Unique Stockbro Pvt. Ltd. (Member of BSE, NSE & MCX-SX and Depository Participant of Central Depository Services (I) Ltd.) in the name and style of _____ for investing in shares & securities. In this connection, Mr./Ms. _____ and/or Mr./Ms. _____ (directors or authroised signatories of the company) be hereby severally authorized to negotiate and finalise the terms and conditions for opening the account(s) and completing the formalities.
2. Mr./Ms.._____ and/or Mr./Ms. _____ (directors or authroised signatories of the company) are severally authorised to operate the account(s) on behalf of the Company on day to day basis and the company will be responsible for all the said acts, deeds of aforesaid persons and honour all the commitments and/or obligations arising out of said acts and deeds of aforesaid persons.
3. Directors/Authorised signatories mentioned above are hereby severally authorised on behalf of the company to give Power of Attorney (POA) in respect of BO Account to be opened and POA be given to Unique Stockbro Pvt. Ltd. for the limited purpose of Pay-in and Margin Obligations emanating from trades executed with Unique Stockbro Pvt. Ltd. as a member of BSE, NSE & MCX-SX. Directors/ Authorised signatories as mentioned above be and are hereby severally authorised to sign, execute and modify POA in said respect and give effect to this resolution.
4. Certified true copy of the above resolutions along with Specimen Signature(s) of Authorised persons be furnished to Unique Stockbro Pvt. Ltd. by the Chairman of the meeting and that Unique Stockbro Pvt. Ltd. is authorised to act and rely upon these resolutions.

Specimen Signature(s) of Director(s) / Authorised Signatories:

Sr. No.	Name	Designation	Director Identification Number (DIN) if applicable)	Signature
1				
2				
3				
4				
5				

For

(Name of the Signatory)
(Chairman of the Meeting)

Date:

Place:

(ON THE LETTER HEAD OF THE COMPANY)
LIST OF AUTHORISED SIGNATORIES

Date:

To,
Unique Stockbro Private Limited
Member: BSE, NSE, MCX-SX
DP: Central Depository Services (I) Limited
61/8, 'Chandanbala',
Road No. 25-C, Sion (West),
Mumbai – 400 022

Dear Sir(s),

We are submitting hereinbelow list of Authorized Signatory(ies) of our company along with their specimen signature(s) in connection with day to day operations of Trading Account and BO Account to be opened with you. These Authorized Signatory(ies) are severally authorized vide Board Resolution dated _____, 20__ to open and operate Trading Account and BO Account with you.

List of Authorised Signatories:

Sr. No.	Name	Designation	Director Identification Number (DIN) if applicable)	Signature
1				
2				
3				
4				
5				

Kindly do the needful and oblige.

Thanking You,

Sincerely

For

(Name of the Signatory)
(Designation of the Signatory)

Place:

(ON THE LETTERHEAD OF THE COMPANY)
LIST OF DIRECTORS

To,
Unique Stockbro Private Limited
Member: BSE, NSE, MCX-SX
DP: Central Depository Services (I) Limited
61/8, 'Chandanbala',
Road No. 25-C, Sion (West),
Mumbai – 400 022

LIST OF DIRECTORS

We are submitting herein below details of Directors of

Sr. No.	Name of the Director	Designation	DIN	PAN	Contact Number
1.					
2.					
3.					
4.					
5.					

For

(Name of the Signatory)
(Designation of the Signatory)

Date:

Place:

(ON THE LETTERHEAD OF THE COMPANY)

SHAREHOLDING PATTERN

Shareholding Pattern of _____ as on _____

Paid Up Capital: _____ Equity shares of ₹ 10/- each

Face Value of each Equity Share: ₹ 10.00

Total Paid Up Capital: ₹ _____

List of shareholders and shareholding as on _____:

Sr. No.	Name of the Shareholder	Number of Shares held	Amount Paid (₹)	Percentage of Total (%)
1				
2				
3				
4				
5				
	Total			100.00

Sincerely,

For

(Name of the Signatory)

(Designation of the Signatory)

Date:

Place:

(ON THE LETTER HEAD OF PARTNERSHIP FIRM)

Date:

To,
Unique Stockbro Private Limited
Member: BSE, NSE, MCX-SX
DP: CDSL
61/8, 'Chandanbala',
Road No. 25-C,
Sion (W), Mumbai – 400 022

Dear Sir,

We refer to the trading account opened with you in the name of _____ and declare and authorise you as under. We recognise that beneficiary account cannot be opened with depository participant in the name of a Partnership Firm as per prevailing regulations. To facilitate operations of the above trading account with you and for the purpose completing the share transfer obligations pursuant to the trading operations, we authorise you to recognize below mentioned Beneficiary Account _____ with Depository Participant _____ opened in the name of _____ and _____ who is/are one of the partner(s) in the aforesaid firm.

We agree that obligation for shares purchased and/or sold by the firm will be handled and completed through transfers to from above mentioned account. We recognise and accept transfers made by you to aforesaid Beneficiary Account as complete discharge of obligations by you in respect of trade executed in the above trading account of the Firm.

Further we the undersigned are the partners of the firm and are sole responsible for liabilities thereof. We shall advice you in writing in any change that takes place in the constitution of the firm and we shall be personally liable to you for all the obligations that the firm may incur in the course of dealing with you and undertake to discharge such liabilities in individual capacity.

Thanking you,

Sincerely,

For

(ON THE LETTER HEAD OF PARTNERSHIP FIRM)
SHARING PATTERN OF THE FIRM

Date:

To,
Unique Stockbro Private Limited
Member: BSE, NSE, MCX-SX
DP: CDSL
61/8, 'Chandanbala',
Road No. 25-C,
Sion (W), Mumbai – 400 022

Dear Sir,

Please find enclosed herewith Profit / Loss Sharing ratio of our firm as on _____.

Sr. No.	Name of Partner	Profit / Loss Sharing Ratio	
		Share in Profit	Share in Loss
1			
2			
3			
4			
Total		100.00 %	100.00 %

We also undertake to inform you as and when any changes takes place in sharing pattern of our firm.

Thanking you,

Sincerely,

For

(ON THE LETTER HEAD OF THE LLP)
AUTHORITY LETTER FROM ALL PARTNER(S)

Date:

To,
Unique Stockbro Private Limited
Member: BSE, NSE, MCX-SX
DP: Central Depository Services (I) Ltd.
61/8, 'Chandanbala',
Road No. 25-C, Sion (West),
Mumbai – 400 022

Dear Sir,

Sub: Authority to designated partner(s)

We intend to open Trading and demat account with your company in the name and style of M/s. _____ for dealing in shares & securities.

In this connection, Mr. _____ and/or Mr. _____ are our designated partners and are hereby severally authorized to negotiate and finalise the terms and conditions for opening the account(s) and completing the formalities in said regard.

Mr. _____ and/or Mr. _____ are severally authorized to open and operate the account(s) on behalf of the Limited Liability Partnership (LLP).

Partners mentioned above are hereby severally authorized on behalf of the LLP to sign, execute and modify necessary papers/documents in said regard. We will be responsible for all the acts, deeds etc. of aforesaid persons and honor all the commitments arising out of the said trading.

Specimen Signature and Designation of aforesaid persons are given hereinbelow:

Sr. No.	Name of the Partner	Whether Designated Partner Yes/No	Designated Partner Identification Number (DPIN)	Signature
1				
2				
3				
4				

Thanking You,

Yours Faithfully

For

(ON THE LETTER HEAD OF LLP)
SHARING PATTERN

Date:

To,
Unique Stockbro Private Limited
Member: BSE, NSE, MCX-SX
DP: Central Depository Services (I) Ltd.
61/8, 'Chandanbala',
Road No. 25-C, Sion (West),
Mumbai – 400 022

Dear Sir,

Sub: Sharing Pattern of M/s _____ as on _____

Sr. No.	Name of Partner	Whether Designated Partner Yes/No	Designated Partner Identification Number (DPIN) (if applicable)	Profit/Loss Sharing Ratio	
				Share in Profit	Share in Loss
1					
2					
3					
4					
Total				100%	100%

Thanking You,

Yours Faithfully

For

(ON THE LETTER HEAD OF THE LLP)
LIST OF AUTHORISED SIGNATORIES

Date

To,
Unique Stockbro Private Limited
Member: BSE, NSE, MCX-SX
DP: Central Depository Services (I) Ltd.
61/8, 'Chandanbala',
Road No. 25-C, Sion (West),
Mumbai – 400 022

Dear Sirs,

We are submitting hereinbelow list of Authorized Signatory(ies) along with their specimens signature(s) in connection with opening and day to day operation of trading account/demat to be opened with you. These Authorized Signatory(ies) are severally authorized vide Authorization Letter dated _____ to open and operate trading and demat account(s) with you.

List of Authorised Signatories of _____ LLP.

Sr. No.	Name of the Partner/Authorised Signatory	Designation (Designated Partner /Partner /Authorised Signatory)	Designated Partner Identification Number (DPIN) (if applicable)	Signature
1				
2				
3				
4				

Thanking You,

Sincerely,

For

(ON THE LETTER HEAD OF THE TRUST)
LIST OF TRUSTEE(S)

To
Unique Stockbro Pvt. Ltd.
Mumbai

Dear Sirs,

We are submitting hereinbelow list of Trustee (s) / Authorised Signatory(ies), along with specimens signature(s) in connection with day to day operations of demat account to be opened with you of our Trust. These Trustee (s) / Authorised Signatory(ies) are duly authorised vide Resolution dated _____ to open and operate demat account with you.

List of Trustee (s) / Authorised Signatory(ies) of _____

Sr. No.	Name of Authorised Signatory	Designation	PAN	Signature
1				
2				
3				
4				
5				

Kindly do the needful and oblige.

For

(Name of the Signatory)
Managing Trustee

Date:

Place:

Format for Resolution on Letter Head

Certified True Copy of Resolution passed in the Meeting of Board of Trustees of _____ (hereinafter referred as **"Trust"**) held on _____, 20 at its office at _____.

It was discussed in the meeting that Beneficial Owner Account be opened with Unique Stockbro Pvt. Ltd. (hereinafter referred as **"USB"**) a Depository Participant of Central Depository Services (I) Ltd. (**"CDSL"**) having DP ID 33600 and SEBI Registration IN-DP-CDSL -235-2004. Following resolutions were passed in the said connection.

RESOLVED THAT

1. Beneficiary Account for the Trust be opened with **USB** in the name and style of _____ for dealing in the demat securities. Mr. / Mrs. _____ and / or Mr. / Mrs. _____ be hereby authorized severally (strike off whichever is not applicable) to open and operate said account and also to complete the formalities in said regard.
2. Aforesaid persons severally, be and are hereby authorized to take all the necessary steps to pursue the relevant business activity, including but not limited to:
 - (a) Negotiate and finalize the terms and conditions for opening / closing the Depository Beneficiary Account and completing all the necessary formalities;
 - (b) Execute the requisite Applications, Agreements, Deeds, Indemnities, Instructions, Undertakings and other documents, in this regard;
 - (c) Operate the said Depository Account and accordingly sign, issue, execute instructions to give effect to this Resolution;
 - (d) Give Power of Attorney (POA) in respect of said Depository Account in favour of Unique Stockbro Pvt. Ltd. for the limited purpose of Pay-in and Margin Obligation emanating from trades with Unique Stockbro Pvt. Ltd. as a member of any Stock Exchange;
 - (e) To deal and correspond with USB as a Depository Participant of CDSL and issue/complete all the necessary instructions or formalities related to the above
3. Certified copy of the said resolution be communicated to **USB** by Managing Trustee and USB authorised to act and rely upon these resolutions.
4. Specimen Signature and Designation of aforesaid persons are given hereinbelow:

Sr. No.	Name	Designation	Signature
1			
2			
3			
4			

For

(Name of Managing Trustee)
(Seal & Signature)

Place:
Date: